



# SABATTUS CAPITAL

## Sabattus Capital, LLC Client Advisory Contract

**Client acknowledges receipt of Part 2 of Form ADV (Client Brochure); a disclosure statement containing the equivalent information; or a disclosure statement containing at least the information required by Part 2A Appendix 1 of Form ADV, if the client is entering into a wrap fee program sponsored by the investment adviser. If the appropriate disclosure statement was not delivered to the client at least 48 hours prior to the client entering into any written or oral advisory contract with this investment adviser, then the client has the right to terminate the contract without penalty within five business days after entering into the contract. For the purposes of this provision, a contract is considered entered into when all parties to the contract have signed the contract, or, in the case of an oral contract, otherwise signified their acceptance, any other provisions of this contract notwithstanding.**

It is henceforth prohibited for The Firm to assign the contract without the written agreement from the client.

A brief summary of The Firm's services and fees are listed below. For more detail, please review the Form ADV:

The Firm offers a variety of stock management services, including:

1. Account management and consultation for \$30/month
2. One time market research starting at \$200 and increasing depending on depth of research
3. Self-Directed Account for a management fee of \$5/month

When opening an account with The Firm, the client will be using Interactive Brokers as its trading platform. Interactive Brokers LLC operates as The Firm's qualified custodian.

The Firm is to act at all times as the client's fiduciary adviser.

The Firm does not provide tax or other financial services not directly related to publicly traded securities.

The Firm is to provide full disclosure of all potential conflicts of interest as detailed in the Form ADV.

The Firm acting within its fiduciary obligations is not to be held responsible for capital losses. Investing in securities involves risk of loss that clients should be prepared to bear.

Investment advisers are free to provide a time period longer than five business days for penalty-free termination by their clients. If the client chooses to terminate the contract within the five business day period, the adviser can only charge for fees incurred prior to the termination excluding administrative fees, account set-up fees, and minimum quarterly fees.

Nothing in this section shall relieve an investment adviser from any obligation pursuant to any provision of the Investment Advisers Act of 1940 or the rules and regulations thereunder or other federal case law, interpretative opinions, and administrative actions by the SEC (as in existence on April 8, 1997) or state law to disclose any information to its clients not specifically required by this section.

If the client chooses for the adviser to execute discretionary authority he/she must state in writing (whether by email or standard mail) to their adviser that this permission is granted.

**Once you have reviewed both the Form ADV (client brochure) and this advisory contract, please indicate which service(s) (1, 2, or 3) you are requesting and sign below:**

**Client Printed Name**

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**Client Signature**

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**Service(s)**

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**Date**

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