

FACTS

WHAT DOES PLANNER SECURITIES DO WITH YOUR PERSONAL INFORMATION?

WHY?

Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.

WHAT?

The types of personal information we collect and share depend on the product or services you have with us. This information can include:

- Social Security number and income
- Account balances and payment history
- Credit history and transaction history

HOW?

All financial companies need to share customers' personal information to run their everyday business. In the section below, we list the reasons financial companies can share their customers' personal information; the reasons Planner Securities chooses to share; and whether you can limit this sharing.

Reasons we can share your personal information	Does Planner Securities share?	Can you limit this sharing?
For our everyday business purposes – such as to process your transactions, maintain your account(s), respond to court orders and legal investigation, or report to credit bureaus	YES	NO
For our marketing purposes – to offer our products and services to you	YES	NO
For joint marketing with other financial companies	YES	NO
For our affiliates' everyday business purposes – information about your transactions and experiences	YES	NO
For our affiliates' everyday business purposes – information about your credit worthiness	YES	YES
For our affiliates to market to you	YES	YES
For nonaffiliates to market to you	YES	YES

To limit our sharing	• Call + 1 (646) 381-7000 - have your full account number available and our menu will prompt you through your choice(s) or • Visit us online at https://www.plannersecurities.com/ Please note: If you are a <i>new</i> customer, we can begin sharing your information 45 days from the date we sent this notice. When you are <i>no longer</i> our customer, we continue to share your information as described in this notice. However, you can contact us at any time to limit our sharing.
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Questions?	Go to: https://www.plannersecurities.com/contact
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Who are we	
Who is providing this notice?	Planner Securities LLC

What we do	
How does Planner Securities protect my personal information?	To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and buildings.
How does Planner Securities collect my personal information?	We collect your personal information, for example, when you • open an account or deposit money • apply for financing or provide account information • Transfer funds or effect securities transactions We also collect your personal information from others, such as credit bureaus, affiliates, or other companies.
Why can't I limit all sharing?	Federal law gives you the right to limit only • sharing for affiliates' everyday business purposes – information about your creditworthiness • affiliates from using your information to market to you • sharing for nonaffiliates to market to you State laws and individual companies may give you additional rights to limit sharing. [See below for more on your rights under state law.]
What happens when I limit sharing for an account I hold jointly with someone else?	Your choices will apply to everyone on your account.

Definitions	
Affiliates	Companies related by common ownership or control. They can be financial and nonfinancial companies. • <i>Planner Securities affiliates include financial companies such as Planner Corretora de Valores S.A. Planner Securities' affiliates also include nonfinancial companies such as Savvy Capital LLC and Planner Services LLC.</i>
Nonaffiliates	Companies not related by common ownership or control. They can be financial and nonfinancial companies.
Joint marketing	A formal agreement between nonaffiliated financial companies that together market financial products or services to you.

Other important information

California Residents: We will not share information we collect about you with non-affiliated third parties, except as permitted by law, such as to process your transactions or to maintain your account. We also send California residents a California notice for additional choices.

Vermont Residents: We will not share information we collect about you with non-affiliated third parties, except as permitted by law, such as to process your transactions or to maintain your account. In addition, we will not share your credit worthiness information with our affiliates. We may share your information with our affiliates so they may market to you. To limit this type of information sharing please call 800-204-2197.

Nevada Residents: Nevada law allows us to make marketing calls to our existing customers listed on the National Do Not Call Registry. If you prefer not to receive marketing calls from us, you may be placed on our Do Not Call list by going to <https://www.plannersecurities.com/contact>. For more information contact our customer service center: <https://www.plannersecurities.com/contact.php>. You may also contact the Nevada Attorney General's office: Bureau of Consumer Protection Office of the Nevada Attorney General, [555 E. Washington Street, Suite 3900, Las Vegas, NV 89101](mailto:555.E.WashingtonStreet@ag.state.nv.us), Phone: (702)486-3132 or Email: BCPINFO@ag.state.nv.us

North Dakota Residents: We will not share information we collect about you with non-affiliated third parties, except as permitted by law, such as to process your transactions or to maintain your account.

US Residents with a Foreign Address: Customers outside the US can update privacy preferences and limit our sharing by contacting us at <https://www.plannersecurities.com/contact.php>